



RESOLUCIÓN EXENTA N° 2029

SANTIAGO, :- 2 JUL 2015



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## Vertigo and dizziness in the elderly

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30 **Abstract**

31           The prevalence of vertigo and dizziness in people aged more than 60 years reaches 30%,  
32 and due to aging of world population, the number of patients is rapidly increasing. The presence  
33 of dizziness in the elderly is a strong predictor of falls, which is the leading cause of accidental  
34 death in people older than 65 years. Balance disorders in the elderly constitute a major public  
35 health problem, and require an adequate diagnosis and management by trained physicians. In the  
36 elderly, common causes of vertigo may manifest differently, as patients tend to report less  
37 rotatory vertigo and more nonspecific dizziness and instability than younger patients, making  
38 diagnosis more complex. In this mini review, age-related degenerative processes that affect  
39 balance are presented. Diagnostic and therapeutic approaches oriented to the specific impaired  
40 system, including visual, proprioceptive, and vestibular pathways are proposed. In addition,  
41 presbystasis -the loss of vestibular and balance functions associated with aging-, benign  
42 paroxysmal positional vertigo, and stroke (in acute syndromes) should always be considered.

43

44 **Keywords:** dizziness, presbystasis, vertigo, falls, elderly, aging.

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60 **Introduction**

61 The terms dizziness and vertigo cover a variety of symptoms regarding disorders of  
62 spatial orientation and motion perception, such as the illusion of rotatory motion (classical  
63 rotatory vertigo) or the feeling of unsteadiness, which can affect objectively the ability to achieve  
64 a stable gaze, posture and gait (Jonsson *et al.*, 2004). Altogether they represent a common and  
65 serious issue in the elderly, where its prevalence reaches 30% beyond 60 years of age (Jonsson *et al.*,  
66 *et al.*, 2004; Barin and Dodson, 2011), while rising to 50% beyond 85 years (Jonsson *et al.*, 2004).

67 The sole presence of dizziness in the elderly is a strong predictor of falls (Agrawal *et al.*,  
68 2009). Moreover the presence of abnormal balance tests increases the risk of hip and wrist  
69 fractures (Ekvall Hansson and Magnusson, 2013). Injuries related to falls lead to mobility  
70 restriction and loss of independence, and increase the fear of falling, which also predicts  
71 subsequent falls (Barin and Dodson, 2011). In addition, falls are the leading cause of accidental  
72 death in persons older than 65 years (Kannus *et al.*, 1999), while dizziness is one of the strongest  
73 contributors to the disability burden after age 65 (Mueller *et al.*, 2014).

74 Although the majority of these patients present benign balance disorders, (Tuunainen *et al.*  
75 *et al.*, 2012; Batuecas-Caletrio *et al.*, 2013; Piker and Jacobson, 2014), in the elderly, common  
76 causes of vertigo may manifest differently, with a more confusing constellation of symptoms, as  
77 patients tend to report less rotatory vertigo and more nonspecific dizziness and instability than  
78 younger patients presenting with the same condition (Piker and Jacobson, 2014). Underlying this  
79 phenomenon is the progressive multimodal impairment of balance, including the loss of  
80 vestibular and proprioceptive functions, and the impairment of central integration of these and  
81 other sensory inputs associated with aging, which may also be called, presbystasis,  
82 presbyequilibrium or multisensory dizziness (Tuunainen *et al.*, 2011; Tuunainen *et al.*, 2012;  
83 Ekvall Hansson and Magnusson, 2013). In addition, the skeletal muscle strength and mass are  
84 reduced with aging, increasing the risk of fall-related injuries in elderly patients (Woo and Kim,  
85 2014).

86 On the other hand, a small number of patients harbor a serious and potentially life-  
87 threatening cause, mainly associated with stroke, and this risk of more serious diagnoses  
88 increases with age (Dagan *et al.*, 2012; Saber Tehrani *et al.*, 2014). Altogether, vertigo, dizziness,  
89 and balance disorders in the elderly constitute a major public health issue, which needs adequate

90 management by trained physicians. This mini review presents recent advances in the diagnosis  
91 and management of dizziness in elderly patients.

92

### 93 **Pathophysiology of balance in the elderly**

94 Age-related degeneration of different neural structures affects balance, including the  
95 vestibular receptors, central vestibular neurons, the cerebellum, and visual and proprioceptive  
96 pathways. The number of hair cells in the vestibular organs and the number of fibers in the  
97 superior and inferior vestibular nerves decrease with age (Johnsson, 1971; Richter, 1980;  
98 Merchant *et al.*, 2000). From a functional perspective, age-related deficits appear to be larger on  
99 semicircular canals, followed by saccular function, while the utricle remains less affected  
100 (Agrawal *et al.*, 2013b; Davalos-Bichara and Agrawal, 2014; Li *et al.*, 2015). A steady  
101 asymmetrical decrease in the ability of sensing angular rotation with age has been reported, as  
102 assessed by video head impulse testing (vHIT) of the vestibulo-ocular reflex (VOR) (Agrawal *et al.*,  
103 2013a; Ekvall Hansson and Magnusson, 2013; Li *et al.*, 2015). This fact is associated with a  
104 loss of dynamic visual acuity due to the inability to compensate fast head rotations with  
105 corrective eye movements, thus assuring a steady image over the retina (Ishigaki and Miyao,  
106 1994). However, while on the acute phase of vestibular loss this may cause intense rotatory  
107 vertigo (due to a sudden vestibular asymmetry), on elderly patients the slow onset of these  
108 chronic impairments would not manifest with vertigo. Instead, they complain about movement  
109 intolerance, instability, and insecure gait, particularly when sudden turns are needed, as there is  
110 an incapability of processing these movements properly. This may also explain the observed lack  
111 of rotatory vertigo in elderly patients with benign paroxysmal positional vertigo (BPPV)  
112 (Batuecas-Caletrio *et al.*, 2013).

113 Nevertheless, while “active” vestibular symptoms may be milder or shifted towards  
114 instability, functional balance performance and disequilibrium phenomena are actually more  
115 severe. The sole presence of VOR asymmetry (which may present in elderly patients without  
116 history of an acute vestibular syndrome, and not rarely in the form of bilateral vestibulopathy) is  
117 a significant predictor of falling (Ekvall Hansson and Magnusson, 2013; Tuunainen *et al.*, 2014).  
118 In addition, compensation phenomena after vestibular loss are weakened in elderly patients, for  
119 example, impairment after vestibular neuritis is harsher on the elderly (Fujimoto *et al.*, 2014).

120 Behind this lies degeneration of multiple non-vestibular subsystems. For instance, the medial  
121 vestibular nucleus, important in vestibular compensation due to its commissural fibers, shows  
122 lower neuron density in healthy older adults (Alvarez *et al.*, 1998). There is also a mean loss of  
123 cerebellum Purkinje cells of about 2.5% per decade (Yesmin *et al.*, 2011). Vibration and touch  
124 thresholds, the ability to detect position and direction of joint movements, and muscle strength  
125 also deteriorate with age (Barin and Dodson, 2011). Visual accommodation, depth perception,  
126 and the ability to suppress nystagmus by visual fixation is diminished due to aging of the  
127 oculomotor system with increased saccade latency, and reduced eye tracking velocity (Barin and  
128 Dodson, 2011).

129 Similarly, elderly patients with chronic pathological asymmetric vestibular evoked  
130 myogenic potentials (VEMP) or deviated subjective visual vertical (SVV) tests, do not report  
131 dizziness or vertigo as significant symptoms, which may relate to central compensation occurring  
132 from the beginning of this slow onset of vestibular function. (Tourtillott *et al.*, 2010; Davalos-  
133 Bichara and Agrawal, 2014; Sun *et al.*, 2014; Li *et al.*, 2015). This scenario leads to no  
134 pathological symptoms at all. Therefore, it is still controversial whether presbystasis by itself  
135 should be always considered pathological or not.

136 In summary, in order to maintain balance, the brain uses all available sensorial cues from  
137 vestibular, visual, and proprioceptive inputs, which in turn are integrated by the central nervous  
138 system to execute adequate motor responses. In this manner, age-related balance deterioration  
139 does not appear to behave as a unique standardized phenomenon, but the opposite: it seems to be  
140 extremely variable from patient to patient (Agrawal *et al.*, 2013a; Agrawal *et al.*, 2013b;  
141 Davalos-Bichara and Agrawal, 2014; Sun *et al.*, 2014). Moreover, minor new or acute  
142 impairments can affect disproportionately their capacity to cope difficult equilibrium scenarios,  
143 as every sensory modality may already be partially deteriorated. Current knowledge is moving  
144 towards determining which abnormalities in balance testing relate to higher risk of falling, and  
145 towards a balance disorder “profile” of selective impairments which, as we propose, may guide a  
146 target-specific treatment (Alrwaily and Whitney, 2011; Cabrera-Kang and Tusa, 2013; Deveze *et al.*,  
147 2014). While asymmetric, severe, and multimodal balance impairments due to aging are  
148 likely to cause symptomatology per se, the magnification and distortion of the symptom spectrum

149 of specific pathologies by presbystasis is perhaps more common. All these factors should be  
150 taken into account in the diagnosis and management of elderly patients.

151

### 152 **Diagnosis of dizziness in the elderly**

153 Reaching a complete, meaningful and treatment-oriented diagnosis in elderly dizzy  
154 patients remains an important challenge for even the most experienced clinician. Obtaining a  
155 good clinical history can be a tough task. It has been reported than more than half of elderly  
156 patients with balance disorders are vague, inconsistent, or contradictory in describing their  
157 symptoms (Newman-Toker *et al.*, 2007). Besides, there is not a single symptom that can predict  
158 with specificity the underlying causes of dizziness, and most of the times elderly patients have  
159 more than one cause of dizziness (Lawson *et al.*, 1999; Kerber *et al.*, 2006). Moreover, caloric  
160 test responses depend on several factors that could be affected by age, such as ear canal volume,  
161 temporal bone thickness, and blood supply to the temporal bone (Enrietto *et al.*, 1999). Several  
162 studies have found that caloric responses tend to increase in middle age with a peak between 50  
163 and 70 years, and then decline modestly thereafter (Bruner and Norris, 1971; Mulch and  
164 Petermann, 1979).

165 A systematic assessment of balance should be achieved in this type of patient, for which  
166 recent technological developments are of great assistance. The impairment of each of the three  
167 semicircular canals can be examined by means of vHIT (MacDougall *et al.*, 2009) procuring a  
168 reliable, objective, and quantitative value for VOR. Ocular and cervical VEMPs give equally  
169 reliable information over utricular and saccular function independently (Brantberg, 2009). The  
170 non-vestibular proprioceptive and visual sensory components of balance and their central  
171 integration in overall equilibrium performance can be thoroughly assessed by dynamic computed  
172 posturography (Soto-Varela *et al.*, 2014). Altogether these tests provide an objective assessment  
173 of every component and subsystem of balance, allowing specific profiling of patients (Curthoys  
174 *et al.*, 1995; Curthoys, 2012).

175 Besides HIT, the SVV bucket test and modified Romberg and Fukuda tests represent low  
176 complexity alternatives for the same assessment, and may be used to develop simple, low-cost  
177 and quick screening procedures (Agrawal *et al.*, 2013a; Cohen *et al.*, 2014). SVV by means of  
178 bucket test may even provide sensible assessment of utricular components beyond VEMP

179 contributions (Sun *et al.*, 2014). Head-shaking nystagmus and dynamic visual acuity testing  
180 among others constitute bedside, fast, inexpensive, and easy to interpret vestibular tests for VOR  
181 (Tuunainen *et al.*, 2012; Ekvall Hansson and Magnusson, 2013; Davalos-Bichara and Agrawal,  
182 2014). Testing for postural hypotension, joint position sense, and gait disorders can also  
183 contribute to assess non-vestibular components in a bedside low-cost manner, contributing to  
184 designing an integral but component-specific treatment.

185 A particular scenario exists in acute onset of severe dizziness or vertigo: an acute  
186 vestibular syndrome, where ruling out stroke is critical, particularly in the elderly. The HINTS  
187 assessment protocol (head impulse test, nystagmus directionality, and test of skew) can be  
188 performed at the bedside, with high sensitivity and specificity to diagnose stroke in an acute  
189 vestibular syndrome (Kattah *et al.*, 2009). This three-step bedside oculomotor examination has  
190 shown better sensitivity than early magnetic resonance imaging (MRI). MRI can give a false  
191 negative result in vertebrobasilar stroke (Oppenheim *et al.*, 2000), and is not always readily  
192 available (Edlow *et al.*, 2008). A full description of the management of acute vertigo in the  
193 elderly is beyond the scope of this mini-review, further readings can be obtained elsewhere  
194 (Newman-Toker *et al.*, 2013 ; Saber Tehrani *et al.*, 2014).

195 Also of note is positional testing for BPPV. This clinical entity accounts for one in every  
196 three causes of dizziness in the elderly. With a simple diagnosis–treatment scheme (even in the  
197 absence of rotatory symptoms), testing should be performed routinely (Batuecas-Caletrio *et al.*,  
198 2013). Consequently, to seek a precise diagnosis, it seems to be mandatory to obtain a good  
199 clinical history and perform thorough neuro-otologic bedside examination, including postural  
200 testing, while the majority of patients may benefit from vestibular tests, and stroke assessment  
201 protocols for an acute balance disorder.

202

### 203 **Etiology**

204 The majority of diseases that cause dizziness in any age group become more prevalent in  
205 older individuals. This can be explained by the cumulative probability of exposure or by age-  
206 related changes that make the elderly more susceptible to these pathologies (Lo and Harada,  
207 2013). A summary of the main causes of dizziness in the elderly is shown in Table 1.

208

209 **Management of elderly patients with dizziness**

210 As with younger patients, disease-specific therapies should be provided, such as  
211 repositioning maneuvers for BPPV and rehabilitation exercises for vestibular hypofunction.  
212 Nevertheless, special consideration is needed for elderly. A flowchart for the management of  
213 these patients is proposed in Figure 1. A high level of suspicion for BPPV should be maintained.  
214 In dubious cases, treatment attempts should be preferred, given diminished symptomatology and  
215 the safety and simplicity of reposition maneuvers (Bhattacharyya *et al.*, 2008).

216 In acute syndromes, stroke should always be ruled out by HINTS. Vestibular suppressants  
217 should be tapered quickly, due to their inhibitory effect on central compensation (Strupp and  
218 Brandt, 2009). Although steroids have been proven to diminish functional loss over time, they  
219 may not contribute to acute symptomatic relief (Strupp *et al.*, 2004). Steroids side effects, should  
220 be carefully considered before administration, particularly on this age group.

221 Current knowledge advises the initiation of vestibular rehabilitation (VR) as soon as  
222 possible after an acute vestibular syndrome (Cabrera-Kang and Tusa, 2013; Deveze *et al.*, 2014).  
223 VR works as a catalyzer and enhancer of central compensation on the basis of three principles:  
224 adaptation (rearrangement of VOR networking), substitution (strengthening of non-vestibular  
225 components of balance), and habituation (increase of sensory thresholds).

226 Chronic dizziness derived from previously acquired vestibular loss (vestibular neuritis,  
227 bilateral vestibulopathy among others) has good results with VR, particularly in terms of  
228 independence and quality of life, although it may need longer and more intensive therapy (Jung *et al.*,  
229 2009; Alrwaily and Whitney, 2011). Moreover, VR is indicated in presbystasis, whereas the  
230 objective is to reduce symptoms or decrease the risk of falling (Cabrera-Kang and Tusa, 2013;  
231 Deveze *et al.*, 2014; Huang *et al.*, 2014). In addition, if there are deficits in lower extremity  
232 muscle strength, specific therapies directed to locomotor dysfunctions should be indicated (Howe  
233 *et al.*, 2011). Proper balance characterizations may help in designing more specific and efficient  
234 interventions. For instance a patient lacking postural stability will require postural- and gait-  
235 focused therapy. Care should be taken in focusing therapy on ongoing symptoms rather than  
236 solely on testing abnormalities, as certain patients could require other treatments prior to benefit  
237 from VR, such as in the case of vestibular migraine, or visually induced dizziness, among others.

238           Importantly, spontaneous compensation strategies differ among patients (half of the  
239 population tend to rely on visual cues while the other half rely on postural information),  
240 supporting the need for customized rehabilitation programs (Deveze *et al.*, 2014). Computerized  
241 dynamic posturography seems to allow such a characterization, while being a reliable objective  
242 measurement of the “amount” of unbalance and risk of falling, and monitoring progress (Deveze  
243 *et al.*, 2014).

244           Initiatives using Internet resources and mobile devices to support adherence and the  
245 realization of rehabilitation exercises at home have been developed (Geraghty *et al.*, 2014; Huang  
246 *et al.*, 2014). Other balance-improving treatments being currently explored include biofeedback  
247 devices worn all day, which give tactile or acoustic cues when the center of gravity is being lost,  
248 allowing the patient to react accordingly (Horak *et al.*, 2015). In severe cases of bilateral VOR  
249 loss and inadequate compensation strategies, the role of vestibular implants (devices similar in  
250 their concept to cochlear implants) is beginning to be explored, and interventions have already  
251 been made in the first patients with satisfying functional outcomes (Pelizzone *et al.*, 2014).

252

### 253 **Conclusions**

254           Dizziness in the elderly remains a difficult subject, given the underlying factor of  
255 vestibular impairment due to aging in the form of presbystasis. The diagnostic and therapeutic  
256 approach must be multi-systemic and oriented to the visual, proprioceptive, and vestibular  
257 systems. BPPV and stroke (particularly in acute syndromes) should always be considered, given  
258 the frequency of the first and the severity of the latter.

259           Current vestibular testing allows a complete characterization of balance function and its  
260 deficits, and is becoming useful as a guide to planning treatment, where a cause-specific  
261 pathology is present, or presbystasis is the sole issue. Under this last condition, VR should be  
262 considered in the elderly where no other plausible balance disorder is suspected, in order to treat  
263 a probably symptomatic presbystasis. Here, resolution of symptomatology would confirm the  
264 assumed working hypothesis of presbystasis, while lack of progress would lead to further  
265 exploration of less common causes.

266           Future challenges on the subject include the further determination of vestibular  
267 impairment profiles and their specific VR alternatives, in order to achieve the shortest and most



268 efficient therapy possible. However, research should also focus on preventive efforts to avoid  
269 falls. The threshold between what may be considered non-significant vestibular abnormalities and  
270 those correlating with a higher risk of falling should be better explored. This will inevitably lead  
271 to the establishment of a reasonable battery of (hopefully, bedside, low-cost, easy to interpret)  
272 examinations designed to rule out unacceptable risk for falling, in the fashion of the HINTS  
273 protocol for stroke.

274

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277

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<b>Peripheral vestibular</b>	Benign paroxysmal positional vertigo Vestibular neuritis Bilateral vestibular loss Late-onset Meniere's disease or decompensation (Barin, 2011), Labyrinthitis Occlusion of the Anterior Vestibular Artery (Pardal Refoyo et al., 1998)
<b>Central nervous system</b>	Vestibular migraine (Furman, 2015) Transient ischemic attack of vertebrobasilar artery (Paul et al., 2013) Stroke Neurodegenerative disorders (Strupp et al., 2014) Downbeat and upbeat nystagmus syndromes (Strupp et al., 2014)
<b>Cardiovascular (Barin, 2011)</b>	Arrhythmia Postural hypotension Congestive heart failure Heart valve failure
<b>Medications (Shoair et al., 2011)</b>	Antihypertensive Benzodiazepines Hypnotics Anxiolytics Antiepileptic
<b>Multimodal balance disorder</b>	Presbystasis (Tuunainen et al., 2011)
<b>Others</b>	Primary and secondary neoplasia (breast and prostate) (Gloria-Cruz et al., 2000; Alvo et al., 2012) Somatoform vertigo and psychiatric dizziness (Staab, 2006) Musculoskeletal system disorders Proprioception and somatosensory loss

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459 **FIGURE 1| Proposed flowchart for the management of dizziness in elderly patients.** An  
460 accurate anamnesis and physical examination will determine further vestibular, neurological, or  
461 cardiovascular tests. Patients with chronic vertigo should be evaluated with Dix – Hallpike  
462 maneuvers. After that, and depending on the available resources, office or laboratory tests help to  
463 estimate the risk of falling and define the balance profile to guide the management of these  
464 patients. On the other hand, every acute patient should be evaluated with the HINTS protocol.

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## CERTIFICADO DE DISPONIBILIDAD PRESUPUESTARIA

Lo dispuesto en el Decreto N° 2075 de 2015, certifico que, a la fecha del presente documento 19 de Junio del 2015, la Facultad de Medicina de la Universidad de Chile cuenta con presupuesto para el financiamiento del siguiente Servicio y/o producto de: pago por publicación de un artículo en Revista Internacional., solicitado por el Proyecto Fundación Puelma – Programa Fisiología, según centro ejecutante N° 560167, cuyo monto es U\$ 875.00 (ochocientos setenta y cinco mil dólares) más gastos de transferencia bancaria. (Solicitud N° 487777).



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## TERMINOS DE REFERENCIA PARA TRATOS DIRECTOS

### I. SERVICIO O PRODUCTO A ADQUIRIR

El Proyecto Fundación Puelma – Programa Fisiología, perteneciente a la Facultad de Medicina de la Universidad de Chile, requiere el servicio de pago por publicación de un artículo en Revista Internacional. Lo anterior, se fundamenta en lo señalado por el Dr. Paul Delano Reyes., Investigador Principal Proyecto Fundación Puelma – Programa Fisiología, según fecha 09 Junio de 2015.

### II. CAUSAL DE TRATO DIRECTO

Que, según lo indicado se configura la causal establecida en el N°7 letra K del artículo 10 del Reglamento de la ley de compras; esto es "Cuando se trate de la compra de bienes y/o contratación de servicios que se encuentren destinados a la ejecución de proyectos específicos o singulares, de docencia, investigación o extensión, en que la utilización del procedimiento de licitación pública pueda poner en riesgo el objeto y la eficacia del proyecto de que se trata. En estos casos, las entidades determinarán por medio de una resolución, disponible en el Sistema de Información, los procedimientos internos que permitan resguardar la eficiencia, transparencia, publicidad, igualdad y no discriminación arbitraria en esta clase de adquisiciones", y la indicada en el Art. 3 N°3 y N°10 de la resolución 300 de 2010, de la Universidad de Chile.

### III. MONTO DE LA CONTRATACIÓN Y/O ADQUISICIÓN

El monto de la adquisición con la empresa Frontiers Media SA es de U\$ 875.00 (ochocientos setenta y cinco mil dólares) más gastos de transferencia bancaria.

### IV. CONTRAPARTE TÉCNICA

La contraparte Técnica será el Dr. Paul Delano Reyes., Investigador Principal Proyecto Fundación Puelma – Programa Fisiología

### V. REQUISITO Y CONDICIONES PARA EL PAGO

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- El lugar de entrega será: Av. Independencia # 1027.

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- Publicación de artículo: "Vertigo and dizziness in the elderly".

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